

Transparency, Participation & Integrity in Local Governance in the Philippines: Three Case Studies

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(Theme: Communities of Integrity and Good Governance)

Working Session 2

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Case #1

Enhancing Partnerships for Integrity and Jobs (Project I4J) In Negros Occidental

Key Elements of Integrity:

Transparency

Participation

Responsiveness

Accountability

Efficiency

Effectiveness

Project I4J

Initiated by the [Konrad-Adenauer- Stiftung \(KAS\)](#), a German Non-government organization, under the project title of: ***"Partnerships for Integrity and Job Creation (Project I4J) -- Local Governments and Civil Society Cooperate for Integrity and Transparent, Effective Small Business Registration and Promotion Procedures"***.

Project I4J

- **LGUs as key players** in implementing the Integrity Initiative of the **ECCP** and the Makati Business Club
- Promotion of **transparent & effective procedures**, esp. in small business registration and investment promotion.
- **Development of integrity mechanisms** among LGUs, in cooperation with civil society/private sector organizations (Integrity Circle)
- **LGUs as a sustainable network** for promoting a culture of integrity (LOGIC Network)

Provincial Government initiatives that enhance Partnerships for Integrity

1. Organizational development

- Institutionalization of the Grievance Redress System within the Provincial Government and in all projects being implemented in the field (ex: PRDP)
- Creation of PEDIC (Provincial Economic Development and Investment Center) to promote investments
- Formation of Multi-Sectoral Economic Development Team (EDT)
- Executive Order creating the Provincial Integrity Circle and Technical Working Group (TWG)

The Integrity Circle as a key driver...

Duties and Functions of the Integrity Circle:

- Appoint civil servants with permanent employment contracts as representatives of the Provincial Government in the Integrity Circle;
- Discuss, formulate and recommend integrity strategies and mechanisms that can be implemented within the Provincial Government structure;
- Conduct an independent assessment of the problems in business promotion and sustainable investment in the province;
- Design and implement integrity mechanisms and models for transparent, efficient and effective small business and investment promotion;
- Facilitate information dissemination among the Province's officials, civil servants and departments concerned on the rationale and implementation of the integrity mechanisms and models for transparent, efficient and effective small business and investment promotion;
- Review/evaluate and assess the integration of integrity mechanisms in small business and investment registration and promotion procedures.
- Develop plans on how to integrate the integrity models in the over-all operations of the Provincial Government.

2. Promoting Business-Friendly Environment

- Provincial Investment and Incentives Code promoting biodiversity and green economy
- Creation of PEZA(Philippine Economic Zone Authority - Accredited since September 2011)
- Promotion and Maintenance of Peace and Order:
 - Provincial Peace & Order Council
 - Provincial Anti-Drug Abuse Council
 - Provincial Peace, Integration, Development & Unification Program (ProPIDU)
 - Comprehensive Local Integration Program for former rebels

3. Developing BFCLs (Business-Friendly & Competitive LGU's)

- Capacity-building of Local Economic Development and Investment Promotion Officers (LEIPO's)
- Establishment of NEGOSYO Centers in partnership with DTI & private sector (SMED Council)
- Streamlining of BPLS (Business Process & Licensing Systems) under LGSP-LED
- Workforce Monitoring & Assessment Tool and Workforce Development Program (TraBAJO)

4. Partnerships with the Private Sector

The SMED Council

(Just concluded 1st. Regional MSME Summit)

The Tourism Development Council

(Adoption of Provincial Tourism Road Map)

5. Maintaining Excellence in Local Governance



Seal of Good Housekeeping

“Gawad Pamana ng Lahi”

(Best Performing Province)

Excellence In Local Governance

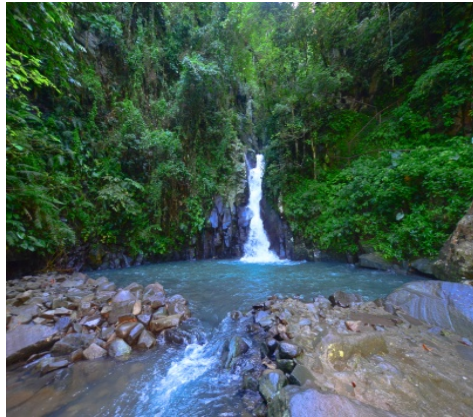
Seal of Good Local Governance

Excellent Local Governance

Hall of Fame

“Best Tourism-Oriented LGU”

“Best Tourism Week Celebration”
(Province Category)



“Best Tourism Event – Provincial Festival”

(Panaad sa Negros Festival)

(24th Year: April 22-30, 2017 in Bacolod City)



Excellence in Environment Management



Green Heroes Award, 2007

From The Green Organization, London

Citizen's Charter

(Anti-Red Tape Act)

**Citizen's Guide to Provincial Government Services With
Mechanism for
Feedback & Redress of Grievances**

LGUs: Scaling up a Culture of Integrity through the LOGIC Network

The Local Government Integrity Circles (LOGIC) Network aims to build a culture of Integrity through:

- Cooperation with NGAs for good governance, transparency, effective service delivery & program implementation
- Creation of tools for systematic exchange of best practices on integrity and job promotion
- Creation of Integrity mechanisms & circles among LGUs
- Establishment of methods for the systematic involvement of the private sector in the Network's efforts to build a culture of integrity

Case #2

The Community-Based Road Maintenance Contract (CBRMC) System

The Davao del Norte Experience

What is CBRMC?

A program that involves the participation of local communities in the maintenance of provincial roads through negotiated procurement, within the bounds of government procurement rules. It involves direct contracting of a local community as service provider for road maintenance.



Why CBRMC?

Challenges in maintenance of local roads:

- The PEO traditionally undertakes “maintenance by administration”
- Maintenance by administration is susceptible to graft, while “Maintenance by Contract” (MBC) cannot attract many contractors
- Provincial road maintenance resources are usually limited and cannot adequately cover provincial road networks
- Local weather conditions and terrain cause rapid deterioration of gravel roads, and maintenance crew cannot cope with the workload
- Road maintenance is frequently “reactionary” or complaints-driven
- Roads left to deteriorate will require higher investments to restore
- Road asset management suffers due to inadequate asset preservation

Why CBRMC?

- Local people's organizations can be tapped to do maintenance of the roads that they themselves frequently traverse.
- It allows quick response to emergency repairs.
- It creates livelihood and a sense of community ownership.
- Payment is based on performance.
- It provides a mechanism to promote transparency, private sector participation & sustainability.



Objectives of CBRMC:

- To identify, establish, train and mobilize local community groups as “micro-enterprises”
- To create and strengthen the capacity of the Provincial Engineer’s Office to plan, manage and supervise labor-based road activities,
- To develop a guide to systems and processes involving labor-based maintenance,
- To demonstrate the viability of a community labor-based, transparent approach to routine Provincial road maintenance.



LEGAL BASIS



1987 Constitution

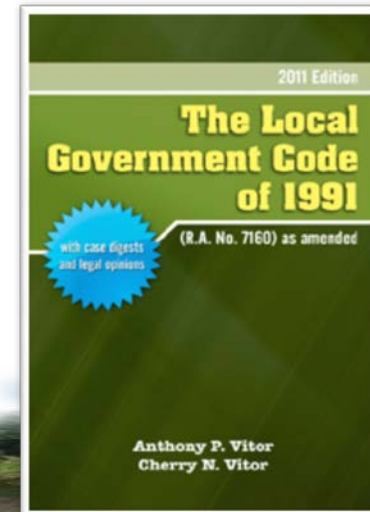
- Section 15 and 16 of the constitution recognize the role of People's Organizations to “effective and reasonable participation” in decision-making processes”

1991 Local Government Code (RA 7160)

- Section 2, 34, 35, 41, 98-116 and 397 reinforced the roles and rights of these organizations and provided the mechanisms for their participation in local government unit

Government Procurement Reform Act (RA 9184)

- Section 53.12 of the Revised Implementing Rules and Regulations states that community participation as a condition for Negotiated Procurement



Scope of CBRMC

- Specific to labor component of pre-identified project for community contracting
- In the form of Negotiated Procurement
- Meet the social objectives for project sustainability



The Davao del Norte CBRMC Experience

- Direct engagement of community organizations in the routine maintenance of Provincial roads
- Over 300 km of provincial roads covered
- Livelihood provided for local residents
- Quick response and more timely road maintenance
- Sense of community “ownership” and responsibility for local roads

Creation of DavNor CBRMC TEAM

- Executive Order No.15 Series of 2015: Creating the Davao del Norte Community-Based Road Maintenance Contracting (DavNor CBRMC) Team
- Responsible for implementing the Community Engagement Framework of the Provincial Government of Davao del Norte

Capacity Development interventions provided to interested local organizations

- CBRMC Orientation (includes M&E and Reporting);
- Maintenance Standards and Scope of Works
- Road maintenance practicum
- Maintenance Cost Estimates
- Health, Safety and Gender Awareness
- Preparation of Straight Line Diagram
- Financial Management Systems such as bookkeeping, budgeting, cash flow, payroll and load charting
- Policy formulation
- Team Building and Leadership
- Procurement procedures

CBRMC Activities CY 2016



EZRA Christian Ministry of Peace INC

Jct. Highway-Tuganay-Taba
Provincial Road

Manual Patching

CBRMC Activities CY 2016



Taba Coconut Farmers Association

Tuganay-Taba Provincial Road

Manual Ditch Cleaning

CBRMC Activities CY 2016



Kipalili Farmer's Association

Km. 15, Kipalili-New Loon

Manual Culvert Line Cleaning

CBRMC Activities CY 2016



Association of Alternative Livelihood of Libuton Inc

Sawata-Libuton-Monte Dujali-Patel

Provincial Road



Vegetation Control

Case #3

The Philippine Rural Development Program (PRDP)

- **PRDP** is a six-year program designed to establish an **inclusive** and **market-oriented** agri-fishery sector through strategic investments in **priority commodity value chains** (targeted infrastructure development).
- PRDP partners with **LGUs** and the **private sector** in providing key **infrastructure, facilities, technology and information** that will raise incomes, productivity and competitiveness in the countryside.

PRDP Objectives:

- Implement model innovations towards more effective and efficient institutional support systems for program implementation and **institutionalized stakeholder engagement**
- Strengthen and develop **viable rural enterprises** through efficient supply/value chain of key agricultural and fishery products in targeted program areas

Relevant Key Results:

- Contribution to poverty reduction, inclusive growth and job creation.
- Value of market output is increased by 20% in the program areas.
- Real farm and fishery household incomes, both on & off-farm, are increased by 10% in the program areas at the end of the program.
- Farm household with improved access to technologies and information are increased by 20% in the program areas (i.e., in regard to weather, market prices, quality, packaging requirement, etc.)
- Increased Smallholder Groups operating viable enterprises

PRDP Contribution to Integrity Mechanisms:

- Transparent and consultative planning and implementation process (manualized)
- Creation of Grievance and Redress Committees and posting on information boards in Project Areas for community guidance
- Geotagging with Bar Codes facilitates meaningful and timely data management
- Prioritization based on established criteria and Value Chain analysis, not partisan political interests

Thank you!